

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
PF-2554**T the Assistant C mmissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Gaku HARADA, Toshihiko NISHIYAMA, Masaki FUJIWARA, Shinako OKADA and Masato KUROSAKI  
corresponding to Japanese application No. 11-076573,  
filed 19 March 1999,  
entitled: ELECTRODE USING IMPROVED ACTIVE MATERIAL FOR BATTERY  
AND CAPACITOR

## Enclosed are:

- |                                     |  |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | 32 pages of specification.   |
| <input checked="" type="checkbox"/> | five sheets of formal drawings.  |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventors.   |
| <input type="checkbox"/>            | a copy of an executed declaration of the inventor from prior application Serial No. , filed .  |
| <input type="checkbox"/>            | incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment cover sheet.   |
| <input type="checkbox"/>            | Information Disclosure Statement with Form PTO-1449.   |
| <input type="checkbox"/>            | copies of the Information Disclosure Statement citations.  |
| <input type="checkbox"/>            | preliminary amendment.   |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized.   |
| <input type="checkbox"/>            | a verified statement to establish small entity status under 37 CFR 1.9 and 1.27.   |
| <input type="checkbox"/>            | a verified statement to establish small entity status filed in prior application. Status is still proper and desired.  |
| <input type="checkbox"/>            | a certified copy of the Priority Document.   |
| <input checked="" type="checkbox"/> | other: Data Entry Sheet.   |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)

of prior application No. , filed .

- |                                     |  |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Customer No. 000466.   |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.  |

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(continued)

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**CLAIMS AS FILED**

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 690	\$ 690
TOTAL CLAIMS	18 - 20 =	0	x\$ 18	
INDEPENDENT CLAIMS	0 - 3 =	0	x\$ 78	
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 260	

**TOTAL** \$690

If applicant has small entity status under 37  
CFR 1.9 and 1.27, then divide total fee by 2,  
and enter amount here.

**SMALL ENTITY  
TOTAL**

\$

<input checked="" type="checkbox"/>	A check in the amount of \$730 to cover the filing fee is enclosed.
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any over- payments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
<input type="checkbox"/>	Charge the amount of \$ as filing fee.
<input checked="" type="checkbox"/>	Credit any overpayment.
<input checked="" type="checkbox"/>	Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.
<input type="checkbox"/>	Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.



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March 20, 2000